Docket No. DEP5172

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hassan Serhan et al.

Serial No. : 10/676869

Art Unit: 3738

Filed

: September 30, 2003

Examiner: Not Assigned

For

: METHODS AND DEVICES TO REPLACE SPINAL DISC NUCLEUS

PULPOSUS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Theodore J. Shatynski (Name of applicant, assignee, or Registered Representative)

Commissioner for Patents P.O. Box 1450 Washington, D.C. 20231

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on August 19, 2004.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information

Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with | the first or second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an

action that otherwise closes prosecution and that it is

accompanied by one of:

	St	atement in Accordance with §1.97(e)			
	(a	ttached); or			
[	Pl	ease charge Deposit Account No. 10-			
	07	50/ / the fee of $$180.00$ as set forth			
	in	§1.17(p).			
	In acco	rdance with $\S1.97(d)$ , this Information			
Disclosure	Statem	ent is being filed after the mailing date of			
either a Fi	inal Ac	tion under §1.113 or a Notice of Allowance			
under §1.33	ll but	before the payment of the Issue Fee.			
Applicant(s	s) here	by petition(s) for consideration of this			
Information	n Discl	osure Statement. Included are: Statement in			
Accordance	with §	1.97(e) as set forth below and the fee of			
	_	rth in §1.17(p).			
$\boxtimes$	Copies	of each of the references listed on the			
attached Fo	orm PTO	-1449 are enclosed herewith.			
	~ '				
	_	of references listed on the attached Form PTO-			
1449 are er	nclosea	herewith EXCEPT THAT:			
ŗ					
l	_	view of the voluminous nature of references			
		ist as appropriate], and the likelihood that			
		ese references are available to the Examiner,			
	CC	pies are not enclosed herewith.			
l		any of the foregoing publications are not			
		ailable to the Examiner, Applicant will			
		deavor to supply copies at the Examiner's			
	re	quest.			
<u>.</u>					
_	-	of only foreign patent documents and non-			
_		are enclosed in accordance with 37 CFR 1.98			
		. patents and each U.S. patent application			
publication listed on the attached Form PTO-1449 are not					

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i). There are no listed references which are not in the English language. The relevance of those listed references which are  $\boxtimes$ not in the English language is as follows: For EP 0621020 see U.S. Patent No. 5702454 listed on Form 1449. Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D. Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed August 19, 2004. Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/DEP5172/TJS. This form is submitted in triplicate. Respectfully submitted, Theodore J. Shatynshi Theodore J. Shatynski Reg. No. 36,676

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (732) 524-2498 DATED:

3

Attorney for Applicants



PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

a collection of information unless it displays a valid OMB control number.					
Application Number	10/676869				
Filing Date	September 30, 2003				
First Named Inventor	H. Serhan				
Group Art Unit	3738				
Examiner Name	Not Assigned				
Attorney Docket Number	DEP5172				

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		2002/147479	A1	Aldrich	10-10-2002	
		5702454 A		Baumgartner	12-30-1997	
					·	

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or passages or relevant Examiner Cite mm-dd-yyyy Applicant of Cited Document Initials figures appear KindCode<sup>5</sup> Office<sup>3</sup> Number⁴ No.1 WO 04/026190 SDGI Holdings Inc. 04-01-2004 Α EP 1410811 Α Ethicon Inc. 04-21-2004 EP 0621020 Sulzer Medizinal Technik AG

_		
I	Examiner	Date
ı	Signature	Considered

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/676869
Filing Date	September 30, 2003
First Named Inventor	H. Serhan
Group Art Unit	3738
Examiner Name	Not Assigned
Attorney Docket Number	DEP5172

U.S. PATENT DOCUMENTS

			U.S. Patent Docum	nent	
Examiner Initials	Cite	Name of Patentee or Applicant of Cited Document	Number	Kind Code <sup>2</sup> (if known)	Pages, Columns, Lines, where relevant passages or relevant figures appear
	<del>   </del>				
	<del>                                     </del>				
	<u> </u>				
		FOREIG	N PATENT DOCUMEN		
		Name of Patentee or	Foreign Patent Docume	ent Pages where	, Columns, Lines, relevant passages

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	Foreign Pa	atent Documen  Number <sup>4</sup> K	t indCode <sup>5</sup>	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
			ļ <u>.</u>				
		OTHER PRIOR ART - N					
Examiner 's Initials*	No. 1 volume-issue number(s), publisher, city and/or country where published			T²			
midais	PCT International Search Report PCT/US2004/031462 dated 01-26-2005						
	<u> </u>						

Examiner	<del>-</del>	Date	•
Signature		Considered	